

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Leibowitz  
App. No.: 10/821,118  
Filed: 04/08/2004  
For: FINANCIAL INSTRUMENT TRADING SYSTEM, METHOD AND  
COMPUTER PROGRAM PRODUCT

Confirmation No.: 8216  
Group Art Unit: 3624  
Examiner: N/A

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

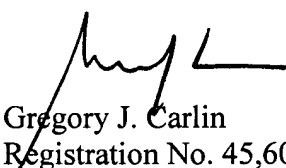
## SUPPLEMENTAL CITATION UNDER 37 C.F.R. § 1.97

Attached is a Supplemental Form PTO-1449 listing several documents that were first cited in the British Search Report for the corresponding British Application Number GB0524819.0 not more than three months prior to the filing of this Statement. In this regard, Applicant notes that the British Search Report was not received by any individual designated by 37 CFR 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

The Search Report is enclosed along with any cited foreign patent documents and non-patent literature documents in accordance with 37 CFR 1.98(a)(2).

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

Respectfully submitted,

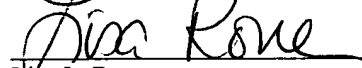
  
Gregory J. Carlin  
Registration No. 45,607

CUSTOMER NO. 00826  
ALSTON & BIRD LLP  
Bank of America Plaza  
101 South Tryon Street, Suite 4000  
Charlotte, NC 28280-4000  
Tel Charlotte Office (704) 444-1000  
Fax Charlotte Office (704) 444-1111

In re: Leibowitz  
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 6, 2006

  
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Lisa L. Rone

Substitute for form 1449/TO (Revised 04/2003)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				<b>Complete if Known</b>		
				Application Number	10/821,118	
				Filing Date	04/08/2004	
				First Named Inventor	Leibowitz	
				Group Art Unit	3624	
				Examiner Name	N/A	
Sheet	1	of	1	Attorney Docket Number	047516/273802	
<b>U. S. PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear	
	26	US-2003/0033239	02/13/2003	Gilbert		
	27	US-2004/0019554	01/29/2004	Merold		
<b>FOREIGN PATENT DOCUMENTS</b>						
Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	English Language Translation Attached
	28	WO 01/059661	08/16/2001	Fenichel et al.		
	29	WO 02/007039	01/24/2002	UBS Painewebber, Inc.		
<b>OTHER DOCUMENTS</b>						
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				English Language Translation Attached
	30	United Kingdom Search Report dated 02/22/2006 for Application No. GB0524819.0 (Filed December 6, 2005).				
Examiner Signature				Date Considered		

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.